



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/032,222	12/19/2001	Neal Goldstein	P 012607 272105

Pillsbury Winthrop LLP  
Intellectual Property Group  
50 Fremont Street  
P.O. Box 7880  
San Francisco, CA 94105



CONFIRMATION NO. 3484

FORMALITIES LETTER



\*OC000000007386931\*

Date Mailed: 01/29/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$504.  
    ■ \$504 for 28 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1374.

A copy of this notice **MUST** be returned with the reply.

04/11/2002 HBERHE 00000027 10032222

01 FC:101

740.00 OP

02 FC:105

130.00 OP

03 FC:103

504.00 OP

*S. Asang*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Goldstein, et al.

Serial No. 10/032,222

Filed: December 19, 2001

For: DISTRIBUTED COMPUTING  
SYSTEM ARCHITECTURE

Art Unit: 2185

Examiner: Unknown



I hereby certify that this paper and the attached papers are being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents  
Washington, DC 20231,  
Attn: Box Missing Parts  
on this date

3-29-02  
Date

KA Cabello  
Kim A. Cabello

TRANSMITTAL LETTER

Assistant Commissioner for Patents  
Washington, D.C. 20231  
Attn: Box Missing Parts

Sir:

Transmitted herewith for filing are the following:

1. Filing Completion Under Rule 53(f), in duplicate;
2. Notice to File Missing Parts of Nonprovisional Application – Part 2;
3. Executed Declaration and Power of Attorney;
4. Executed Assignment and Assignment Cover Sheet
5. Check in the amount of \$1414.00; to cover the cost of the filing fee, excess claim fees and recordation of assignment and
6. Return Postcard.

The Commissioner is hereby authorized to charge any fee, including any submitted herewith, if the attached check(s) is in the wrong amount or otherwise improper or missing, that may be due in connection with this and the attached papers, or with this application during its entire pendency to or to credit any overpayment to Deposit Account 03-3975. A duplicate of this Transmittal is enclosed.

Respectfully submitted,  
PILLSBURY WINTHROP LLP

By

Jonathan E. Jobe, Jr.  
Registration No. 28,429

Dated: 3/29/02

Attorney Docket No. 012607-272105

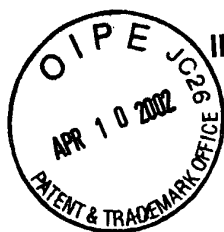
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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## FILING COMPLETION UNDER RULE 53(f)

( NOT PCT Applications)  
For Design, Provisional, or Utility Applications

PATENT  
APPLICATION

**COMPLETION Under**  
**Rule 53(f)**

Attn: Application Division

In re PATENT APPLICATION of  
Inventor(s): Goldstein et al.

Appln. No.: 10  
Series Code  $\uparrow$

032,222  
Serial No.  $\uparrow$

Atty. Dkt.

P 012607  
M#

272105  
Client Ref

Filed: December 19, 2001  
Title: DISTRIBUTED COMPUTING SYSTEM ARCHITECTURE

### CERTIFICATE OF MAILING

I hereby certify that this correspondence and all marked attachments are being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on

Date: March 29, 2002

Hon. Commissioner of Patents  
Washington, DC 20231

8-29-02  
(DATE)

*K. E. Bell*

Sir: The following completes the filing under Rule 53(f) of the above-identified patent application:

1. **Notice to File Missing Parts** ☒ copy attached ☐ not yet received
2. ☒ Signed Declaration attached. ☒ Original ☐ Facsimile/Copy

(Always "X" box 2 if filing signed Declaration and  
"X" box 2A only if top box of the Declaration is X'd and file application copy, or  
"X" box 2B only if none of the top three boxes of the Declaration is X'd.)

- 2A. ☐ Attached: Original signed Declaration with attached specification (including claim(s)) which is a copy of specification and claim(s) originally filed to secure the above filing date.
- 2B. ☐ The original application as filed in the PTO on the above filing date is the application which each inventor executed by signing the attached Rule 63 Declaration.

3. ☐ Specification originally filed in non-English language; hence verified translation attached of:

- a. ☐ Abstract
- b. #          pages of Specification (only spec. & claims)
- c. ☐ Drawing(s)          No of Sheets
- ☐ Fig(s).

4. ☐ Letter filing formal drawing attached.

5. ☒ Attached is an assignment and cover sheet. Please return the recorded assignment to the undersigned.

6. DOMESTIC/INTERNATIONAL priority is claimed under 35 USC 119(e)/120/365(c) based on the following provisional, nonprovisional and/or PCT international application(s):

Application No.	Filing Date	Application No.	Filing Date
(1) 60/271,353	02/22/01	(2)	
(3)		(4)	

7. FOREIGN priority is claimed under 35 USC 119(a)-(d)/365(b) based on filing in

Application No.	Filing Date	Application No.	Filing Date
(1)		(2)	
(3)		(4)	

9. (No.) Certified copy (copies): 1 ☐ attached; ☐ previously filed (date) \_\_\_\_\_  
in U.S. Application No. \_\_\_\_\_ filed on \_\_\_\_\_
10. Small Entity Status ☒ is Not claimed ☐ is claimed (file PAT-256 if this is the first claim of Small Entity Status)
11. ☐ Attached:
12. ☐ Preliminary Amendment:

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

				Large/Small Entity		Fee Code
13. Basic Filing Fee				Design Application \$330/\$165		106/26
				Not Design Application \$740/\$370	+740	101/201
14. Total Effective Claims				x \$18/\$9	+504	103/203
48	minus 20 =	28		x \$84/\$42	+0	102/202
1	minus 3 =	0		\$280/\$140	+0	104/204
15. Independent Claims						
16. If <u>any proper</u> multiple dependent claim (ignore improper) is present, (Leave this line blank if this is a reissue application)				\$130/\$65	+130	105/205
17. Surcharge for filing Declaration/filing fee late				<b>FILING FEE ENCLOSED = \$1374</b>		
18.						
19. Original due date: March 29, 2002						
20. Petition is hereby made to extend the <u>original</u> due date to				(1 mo)	\$110/\$55 =	+0
cover the date this response is filed for which the requisite fee				(2mos)	\$400/\$200 =	
is attached				(3mos)	\$920/\$460 =	
				(4mos)	\$1,440/\$720 =	
21. If "non-English" box 3 is X'd, add Rule 17(k) processing fee					\$130	+0
22. If "assignment" box 5 is X'd, add recording fee					\$40	+40
23. Petition Fee for					\$130	+0
<b>TOTAL FEE ENCLOSED =</b>						<b>\$1414</b>

24.

Our Deposit Account No. 03-3975  
Our Order No. 012607

C# 272105 M#

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 (missing or insufficiencies only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos. shown in the heading hereof for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee until/unless an issue fee transmittal form is filed.

**Pillsbury Winthrop LLP**  
**Intellectual Property Group**

50 Fremont Street/P.O. Box 7880  
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Tel: (619) 234-5000

By Atty: Jonathan E. Jobe, Jr.  
Sig: \_\_\_\_\_

Reg. No. 28,429

Fax: (858) 509-4010  
Tel: (858) 509-4005

Atty/Sec: JEJ/KAC

**NOTE: File in duplicate with PTO receipt (PAT-103A) and attachments**